



## Enhanced Competency Framework (ECF) on Retail Wealth Management Training and Examination Schedule – 2020

Training – Q2 2020



- IA CPD<sup>1</sup>



- HKIB CPD<sup>2</sup>



- SFC CPT



- WAM Programme<sup>3</sup>

Module Name	Module No	Code	Date	Time	Language (with English materials)	Application Deadline <sup>5</sup>	Fee <sup>6</sup> (HK\$)
Regulatory Environment for Banking and Financial Planning (Credit: 20)	1	HQRM001PP200505 <i>2020 new version!</i> <sup>4</sup>	9, 16, 23 May 2020 (Sat)	10:00 – 13:00; 14:00 – 17:00 on 9 & 16 May 10:00 – 13:00 on 23 May	Cantonese	9 Apr 2020 (Thu)	3,900
Investment Planning (Credit: 20)	2	HQRM002PP200504 <i>2020 new version!</i> <sup>4</sup>	7, 14, 21, 28 May 2020 (Thu) 3 Jun 2020 (Wed)	19:00 – 22:00	Cantonese	7 Apr 2020 (Tue)	3,900
Essentials of Banking (Credit: 20)	3	HQRM003PP200401 <i>2020 new version!</i> <sup>4</sup>	15, 20, 27 Apr 2020 (Mon)	09:00 – 12:00; 14:00 – 17:00 on 15 & 20 Apr 14:00 – 17:00 on 27 Apr	Cantonese	16 Mar 2020 (Mon)	3,900
		HQRM003PP200501 <i>2020 new version!</i> <sup>4</sup>	4, 11, 18, 25 May 2020 (Mon); 1 Jun 2020 (Mon)	19:00 – 22:00	Cantonese	6 Apr 2020 (Mon)	3,900
Insurance and Retirement Planning (Credit: 20)	4	HQRM004PP200503 <i>2020 new version!</i> <sup>4</sup>	7, 14, 21 May 2020 (Thu) 29 May 2020 (Fri) 4 Jun 2020 (Thu)	19:00 – 22:00	Cantonese	6 Apr 2020 (Mon)	3,900
Investment and Asset Management: Product Solutions (Credit: 20)	5	HQRM005PP200502 <i>2020 new version!</i> <sup>4</sup>	5, 12, 19, 25 May 2020 (Tue); 2 Jun 2020 (Tue)	19:00 – 22:00	Cantonese	6 Apr 2020 (Mon)	3,900
Investment and Asset Management: Alternatives and Wealth Solutions (Credit: 20)	6	HQRM006PP200506 <i>2020 new version!</i> <sup>4</sup>	20, 27 May 2020 (Wed); 4 Jun 2020 (Thu); 10, 17 Jun 2020 (Wed)	19:00 – 22:00	Cantonese	20 Apr 2020 (Mon)	3,900
Financial Planning and Wealth Management (Credit: 20)	7	HQRM007PP200507 <i>2020 new version!</i> <sup>4</sup>	22, 29 May 2020 (Fri); 5, 12, 19 Jun 2020 (Fri)	19:00 – 22:00	Cantonese	22 Apr 2020 (Wed)	3,900

**Examination – Q2 2020**

Module Name	Module No	Code	Date	Time	Application Deadline <sup>5</sup>	Fee <sup>6</sup> (HK\$)
Regulatory Environment for Banking and Financial Planning (Credit: 20)	1	EXAM-2020-00011 <i>2020 new version!<sup>4</sup></i>	19 Nov 2020 (Thu)	19:00 – 21:30	19 Oct 2020 (Mon)	1,060
Investment Planning (Credit: 20)	2	EXAM-2020-00012 <i>2020 new version!<sup>4</sup></i>	20 Nov 2020 (Fri)	19:00 – 21:30	20 Oct 2020 (Tue)	1,060
Essentials of Banking (Credit: 20)	3	EXAM-2019-00309	12 Mar 2020 (Thu)	19:00 – 21:30	12 Feb 2020 (Thu)	1,060
		EXAM-2019-00372	21 Apr 2020 (Thu)	19:00 – 21:30	23 Mar 2020 (Mon)	1,060
		EXAM-2020-00040 <i>2020 new version!<sup>4</sup></i>	6 Jun 2020 (Sat)	14:30 – 17:00	7 May 2020 (Thu)	1,060
		EXAM-2020-00013 <i>2020 new version!<sup>4</sup></i>	16 Jul 2020 (Thu)	19:00 – 21:30	16 Jun 2020 (Tue)	1,060
		EXAM-2020-00014 <i>2020 new version!<sup>4</sup></i>	20 Aug 2020 (Thu)	19:00 – 21:30	20 Jul 2020 (Mon)	1,060
Insurance and Retirement Planning (Credit: 20)	4	EXAM-2019-00320	19 Mar 2020 (Thu)	19:00 – 21:30	20 Feb 2020 (Thu)	1,060
		EXAM-2019-00462 <i>2020 new version!<sup>4</sup></i>	20 Nov 2020 (Fri)	19:00 – 21:30	21 Oct 2020 (Wed)	1,060
Investment and Asset Management: Product Solutions (Credit: 20)	5	EXAM-2019-00305	19 Mar 2020 (Wed)	19:00 – 21:30	19 Feb 2020 (Mon)	1,060
		EXAM-2019-00362	15 Apr 2020 (Wed)	19:00 – 21:30	16 Mar 2020 (Mon)	1,060
		EXAM-2020-00041 <i>2020 new version!<sup>4</sup></i>	6 Jun 2020 (Sat)	17:30 – 20:00	7 May 2020 (Thu)	1,060
		EXAM-2019-00365 <i>2020 new version!<sup>4</sup></i>	23 Jul 2020 (Thu)	19:00 – 21:30	23 Jun 2020 (Tue)	1,060
		EXAM-2020-00019 <i>2020 new version!<sup>4</sup></i>	11 Aug 2020 (Thu)	19:00 – 21:30	13 Jul 2020 (Mon)	1,060
Investment and Asset Management: Alternatives and Wealth Solutions (Credit: 20)	6	EXAM-2019-00306	26 Mar 2020 (Thu)	19:00 – 21:30	27 Feb 2020 (Thu)	1,060
		EXAM-2019-00377 <i>2020 new version!<sup>4</sup></i>	6 Jun 2020 (Mon)	TBC	8 May 2020 (Mon)	1,060
		EXAM-2019-00376 <i>2020 new version!<sup>4</sup></i>	7 Jul 2020 (Tue)	19:00 – 21:30	8 Jun 2020 (Mon)	1,060
		EXAM-2020-00024 <i>2020 new version!<sup>4</sup></i>	4 Aug 2020 (Tue)	19:00 – 21:30	6 Jul 2020 (Mon)	1,060
		EXAM-2020-00025 <i>2020 new version!<sup>4</sup></i>	7 Sep 2020 (Mon)	19:00 – 21:30	7 Aug 2020 (Fri)	1,060
Financial Planning and Wealth Management (Credit: 20)	7	(Multiple Choice) EXAM-2019-00310	27 Mar 2020 (Fri)	20:45 - 22:00	28 Feb 2020 (Fri)	1,060 <sup>8</sup>
		(Case & Oral) <sup>7</sup> EXAM-2019-00311	29 Mar 2020 (Sun)	TBC	28 Feb 2020 (Fri)	

**Special Note:**


- According to the accreditation of HKCAAVQ on "Professional Certificate for ECF on Retail Wealth Management (RWM), an average learner is expected to spend 3 to 4 months to complete the respective training and examination of individual modules.
- Learners are required to enrol in and complete the training before attempting the examination.



**Programme Details:**

- Venue: 3/F Guangdong Investment Tower, 148 Connaught Road Central, Hong Kong (Sheung Wan MTR Station Exit C) (unless other venue specified in training class confirmation / examination attendance notice)
- Enrolment: By fax at (852) 2544 9946 or via Email at [application@hkib.org](mailto:application@hkib.org) or submit to our institute in person or by mail

**Note:**

1. IA CPD will be applicable to Module 1, 2, 3, 4, 5, 6 & 7
2. HKIB CPD includes CPD for CB (Stage I), CB (Stage II), CB, CFMP, ARWP, CRWP and ACsP designation holders.
3.  The programme has been included in the list of reimbursable courses for WAM pilot programme. Please note that it applies to classroom training mode only, training conducted in virtual training mode **will not** be applicable to WAM Programme reimbursement. For WAM pilot programme applicants, 80% of the course fee (examination fee excluded) will be reimbursed upon meeting the 80% attendance requirement. For more details, please visit: [www.wamtalent.org.hk](http://www.wamtalent.org.hk).
4. The 2020 new training and examination version will be adopted starting from Mar 2020 and Jun 2020 respectively.
5. HKIB student members can enjoy 25% off training fee discount and 50% off examination fee discount respectively.
6. Exam candidates of M7 Case & Oral will be informed of the exam time via Exam Attendance Notice. Exam candidates are recommended to reserve the day.
7. A late entry fee of HK\$200 will apply for late training and examination enrolment respectively.
8. The re-sitting examination fees for M7 Multiple Choice and M7 Case & Oral are HK\$1,060 respectively.
9. HKIB reserves the right to cancel, modify, and/or postpone the training and/or examination.

**For Enquiries:**

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